06-08-01

FORM PTO-1082 ASSISTANT COMMISSIONER FOR PATENTS Express Mail Label No. EL856246240US Case Docket No. <u>57139-5052</u>

Date:



Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): Tadashi ICHIDA and Akira TSUMIYAMA

- For: HYDRAULIC GEAR SHIFT MECHANISM

Enclosed are:

- 9 Sheet(s) of drawing (\Box formal informal) + $\underline{}$ extra copies.
- 20 pages of specification.
- An executed assignment of the invention to Shimano Inc.
- A certified copy of

from which priority is claimed in the subject case pursuant to Rule 55(b) and 35 USC 119(a)-(d).

- An executed Power of Attorney by Assignee and Exclusion of Inventor Under Rule 3.71 is enclosed.
 - A Verified Statement to Establish Small Entity Status under 37 CFR 1.9 and 37 CFR 1.27.
 - An executed Declaration is enclosed.
 - An executed Recordation Form Cover Letter (Form PTO 1595) will follow.
 - Information Disclosure Statement (Form PTO 1449), with 4 attachments.
 - Petition to Make Special under 37 CFR §1.102 and MPEP §708.02(VIII).
 - Request and Certification Under 35 U.S.C. 122(b)(2)(B)(ii)

4.11	FOR:	NO. FILED	NO. EXTRA	SMALL ENTITY			OTHER THAN SMALL ENTITY	
				RATE	FEE	OR	RATE	FEE
Hand Hand	BASIC FEE				\$			\$ 710
15.11.1	TOTAL CLAIMS	14 - 20	0	x 9 =	\$	OR	x 18 =	\$
H. H.	INDEP CLAIMS	8 -3	5	x 40 =	\$	OR	x 80 =	\$ 400
-	MULTIPLE DEPENDENT CLAIMS PRESENTED TOTAL			+ \$130			+\$260	
					\$			\$1,110

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

Group Art Unit: not yet assigned

Tadashi ICHIDA

Examiner: not yet assigned

Serial No.

not yet assigned

Filed:

June 7, 2001

For:

HYDRAULIC GEAR SHIFT

MECHANISM

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

Express Mail No. EL856246240US

Date of Deposit June 7, 2001

I hereby certify that the attached Patent Application Transmittal - FORM PTO 1082 (1 page); Application including, specification, claims and abstract (20 pages); eight (9) sheets of drawings; executed Declaration, executed Power of Attorney by Assignee and Exclusion of Inventor and an executed Assignment with PTO Form 1595; Information Disclosure Statement with 4 cited references; Check for \$1,110.00, Check for \$40.00 and a return postcard is being deposited with the United States Postal Service, "Express Mail Post Office to Addressee" services under 37 C.F.R. § 1.10, on the date indicated above and is addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231.

Kristin Freebairn

Signature of person mailing paper